

Name	Date	Description	Duration in Minutes
ESSENTIALS			
Sanctions Essentials: Connecting the Dots Between Sanctions and AML	2018	This course provides a practical approach to the similarities and differences between AML and Sanctions rules, as well as what a company can do to stay fully compliant with both compliance models.	75
Preparing for a Regulatory Examination of Your Financial Institution's OFAC Program	2018	Addresses the steps an institution can take in advance in order to prepare for a bank examination of your OFAC program. We will cover: what happens when the bank examiner arrives, how the examiner prepares him/herself for the examination, how to handle questions from the examiner, and how to best document the examination.	75
Elements of an Effective Export Compliance Program for Engineering, Manufacturing and Other Corporates (and their Banks)	2018	Experts from BIS will discuss the fundamentals of export compliance and provide practical tips and strategies to help you prepare the highest quality export compliance program that will satisfy auditors, business partners and your banks.	75
Practicalities and Risks of Using OFAC Licenses, And How To Expedite Them	2017	Teaches about licenses, authorizations from US Treasury's OFAC to engage in a transaction that otherwise would be prohibited. Many of OFAC's licensing determinations are guided by U.S. foreign policy and national security concerns, and they are granted on a case-by-case basis. The best-case scenario is 30-60 day approval, but what can you do to expedite or at least, not unnecessarily delay, it?	75
The Role and Authorities of U.S. Department of State in Sanctioning Terrorists	2017	Explains the important role of U.S. Department of State in designating terrorists. Do you know the ramifications of FTO listings by the US Department of State and how it affects your compliance program, even if you're not a US business?	75
Essentials: Seven Vital Things Every Exporter (and its Bank) Needs To Know About Sanctions and Export Controls	2017	Covers the seven vital things every exporter needs to know about sanctions and export controls. It will also address what your banks are (or should be) considering when they decide whether to accept (or block) payment for an export transaction or agree to finance an export transaction.	75
What U.S. Federal Bank Examiners Look For in Their OFAC Compliance Examinations	2017	Provides information about the US Federal Financial Institutions Examination Council's AML/BSA Examination Manual provides guidance to examiners for carrying out OFAC exams. Course also includes tips for an OFAC risk assessment.	75
OFAC's Sibling – The Commerce Department's Bureau of Industry and Security (BIS) and How Its Regulatory and Criminal Powers Are Applied	2016	Provides crucial information on the role of this little known agency and how it shares licensing jurisdiction with Treasury's OFAC and can impose hefty penalties for non-compliance with export controls and sanctions.	75

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CDD/KYC FOR SANCTIONS COMPLIANCE PURPOSES			
Using OSINT to Detect Sanctioned Entities and Persons	2018	How can you be sure that you are not trading with a known associate or offshore subsidiary of a sanctioned party? Open Source Intelligence, or "OSINT" is a well-proven and much used methodology used by intelligence agencies. How can compliance use it to detect hidden designated entities?	75
Due Diligence: Know Your Customer and Business Partner to Unveil Sanctioned Parties and Hidden Beneficial Owners	2017	Provides insights into the essential elements of a strong CDD compliance program focusing on sanctions compliance, and will explore tailored strategies to address these risks in a OFAC/sanctions compliance program.	75
Panama Papers Reveal Sanctions Violations and Big Compliance Gaps: What You Must Know	2016	Explores the sanctions compliance impact of the Panama Papers scandal. According to the files, the law firm has worked with at least 33 individuals or companies listed on the Treasury Department's OFAC list. What can financial institutions and other companies learn from this scandal?	75
ENFORCEMENT/CASE STUDIES			
Lessons Learned from the ZTE Case	2018	On March 7, 2017, OFAC, BIS, and DOJ entered into a settlement with ZTE, in which the company agreed to a record-breaking combined \$1.19 B in civil and criminal penalties for knowingly shipping illegal telecom equipment to Iran and North Korea in violation of U.S. sanctions law. This course provides a practical approach as to what a company should do (or should not do) to avoid a fate like that of ZTE.	60
OFAC Enforcement Actions: Trends and Analysis	2018	In its role as the U.S. sanctions enforcer, OFAC has recently doled out a number of hefty fines for sanctions violations. This course provides an update and trend analysis on (1) Which parties are subject to OFAC enforcement actions? and (2) What factors are associated with the size of OFAC penalties?	75
Compliance Processes and Procedures That May Help Reduce Criminal and Regulatory Sanctions Problems	2016	This course covers the long arms of the U.S. Justice Department and State Department and how they may bring sanctions troubles to businesses. Further, how to respond when a government agent serves a subpoena for information dealing with possible sanctions violations, and how to get credit for responding properly to government inquiries and bring institutional value back	75
OFAC Sanctions Enforcement Lessons - What 10 Years of OFAC Cases Teach	2016	SanctionsAlert.com analyzed 893 civil penalty and enforcement actions of the OFAC since 2003. They resulted in \$3.6 Billion in penalties and fines against financial institutions, non-financial corporations, charitable organizations, individuals and others. These OFAC actions were not only launched against huge companies, but also against the Salvation Army, the U.S Volleyball Association and other similar "non-profit" organizations. This course covers main trends that we can extract from a statistical analysis on these cases.	75

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SCREENING, MONITORING AND TECHNOLOGY			
Advanced Tech: Using the Latest Machine Learning and AI for Greater Efficiency	2018	Keeps the learner abreast of all the latest developments in sanctions technology. This course covers (1) What is Machine Learning and Artificial Intelligence? (2) The Role of Machine Learning in Sanctions Screening and (3) How to Implement Machine Learning	75
Trends in Cutting-Edge Sanctions Technology	2018	Covers AI, role of data, principle vs. rule-based systems, opportunities from automation, and other technology design considerations.	75
Sanctions Screening: Key Pitfalls to Avoid, and How to Optimize Vendor Tools	2017	Sanctions screening has grown more complicated with issues like sectorial sanctions, OFAC 50% rule, overlapping lists, aliases, etc. In addition, in the US violations of sanctions laws are enforced very strictly: In 2015, the National Bank of Pakistan paid a penalty to US Treasury for mistakenly processing prohibited transactions due to a software failure. Also covers NYDFS requirements.	75
Key Metrics to Measure Sanctions Compliance Program Effectiveness	2016	This course, led by the former Head of US Economic Sanctions at HSBC North America Holdings, explains how you can use metrics to measure effectiveness of sanctions an AML compliance programs. Our speaker will provide background and guidance on developing sound metrics that evidence sanctions compliance program effectiveness.	75
INTERNATIONAL SANCTIONS			
UK Sanctions and AML Act 2018: Legal and Compliance Considerations	2018	Provides latest update on UK's 2018 Sanctions and AML Act, its background, implications, powers and penalties. Speakers will also cover expanded reporting obligations and new government guidance under the Act.	75
The Blocking Statute - Protecting EU Companies from the Effects of Extraterritorial Application of US Sanctions Against Iran	2018	Covers challenges and discrepancies between U.S. and E.U. regimes that severely complicate matters for financial institutions and companies looking to comply with sanctions against Iran.	75
The Potential Effects of Brexit on U.K. Sanctions Law and How Compliance Officers Can Prepare For the Switch	2018	Since June 2016's 'Brexit' decision, it has been unclear how the U.K. will implement sanctions policy after the divorce. The new Sanctions and Anti-Money Laundering Act provides the U.K. powers to impose, update, and lift sanctions and AML regimes after the U.K. leaves the E.U. in March 2019. But will the U.K. sanctions landscape stay the same, or is it likely to change drastically?	75
EU and UK Sanctions Update: Compliance Implications for Corporations in Europe and Beyond	2018	For corporates doing business internationally, it is vital to realize that even if a business complies with the high standards set by U.S. sanctions, it does not automatically mean the same business will comply with E.U. sanctions. Is your sanctions/export controls program prepared for these nuances and discrepancies? You will get insights in this course on how to deal with this.	75
What U.S. and International Companies Doing Business in Canada Need to Know About Canadian Sanctions	2017	Focuses on the fundamentals of Canadian sanctions and export controls programs. Designed for U.S. and international sanctions compliance professionals, providing practical advice on doing business in Canada while navigating Canadian sanctions laws. Essentials will provide attendees with a solid framework, practical tips and up-to-date information – the information you need to stay compliant.	75

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IRAN			
Critical Update on Iran Sanctions: Navigating an Increasingly Complex Regime	2017	In February 2017, the U.S. sanctions imposed new sanctions on Iran, targeting dozens of companies and individuals, including Chinese firms. In March, 2017, U.S. Congress introduced new bills aimed at increasing non-nuclear sanctions against Iran. The bill, if passed, would authorize new "secondary sanctions" which present compliance headaches for many, even those companies with little nexus to the US. What happens and will it affect your program?	75
Iran Sanctions: Expert Briefing on Risk, Compliance and How To Optimize Vendor Tools	2016	Covers the practical issues arising under the Joint Comprehensive Plan of Action (JCPOA) concerning Iran's nuclear program and sanctions relaxation. Will provide vital information to financial services and other corporations that operate internationally to keep them out of trouble with OFAC, the U.S. Department of Justice, and European authorities.	75
Practical Considerations When Doing Due Diligence on Iranian Business Partners and Counterparts	2017	Course covers how European and U.S. sanctions regimes against Iran have become increasingly misaligned since the implementation of the Joint Comprehensive Plan of Action, or JCPOA, in early 2016. Provides a practical approach on what a company must do to stay fully compliant with these complex, and sometimes conflicting, regimes. Additionally, attendees will be equipped with the tools needed to best conduct due diligence and stay compliant when doing business with Iran.	75
SPECIAL DANGER ZONES			
Beyond List Matching: Compliance with Sectoral Sanctions	2018	Learn about non-list based sanctions, such as those broadly imposed on Venezuelan 'new debt' or Russian trade, and how they aim to limit certain sectors of a country's economy from gaining access to U.S. capital markets. Learn about compliance with the Sectoral Sanctions Identifications (SSI) List, which is now arguably just as important as OFAC's Specially Designated Nationals (SDN) List.	75
New Techniques WMD Threat Financiers Use and Red Flags to Spot Them	2017	This course goes into red flags related to this ominous national security threat. SanctionsAlert.com analysis showed that in recent years, more OFAC penalties on a wide variety of businesses are linked to sanctions violations tied to WMD in one form or another. Investigations into customers and financial transactions can complement counter-proliferation actions by export control authorities.	75
What to Do When International Trading Partners and Affiliates Operate in a Sanctioned Country	2016	How to make sure your organization or business partner is not engaging in transactions that are prohibited, especially when there are hybrid financial crime risks? This course also covers operational challenges of compliance when there is potential sanctions exposure through a business partner, client or affiliate operating in (or in proximity) of a sanctioned country.	75
Combating WDM Proliferation and Improving Sanctions Compliance	2016	The growing number of penalties imposed by the US Treasury Department's Office of Foreign Assets Control (OFAC) for sanctions violations underscore that foreboding. Our analysis showed that in recent years, more OFAC penalties on a wide variety of businesses are linked to sanctions violations tied to "weapons of mass destruction" in one form or another. The expert from the UK will explain what this means for financial institutions.	75



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LEGAL/REGULATORY UPDATES			
New York's Enforcement of Economic Sanctions: Too Much or On Target?	2016	With the active involvement of NYDFS never has sanctions compliance been more important or under more scrutiny. Find out what will trigger an inquiry from NY State Department of Financial Services and other state agencies.	75
Live Q&A with the Former Chief of the New York Money Laundering Unit: NYDFS Part 504 Break-down and Analysis	2017	NYDFS Part 504 rule sets high expectations on how sanctions/OFAC and other lists are screened. In this course, you will hear about one of the hottest topics impacting AML and OFAC compliance today. To navigate this rule's unprecedented challenges, SanctionsAlert.com has invited Former Chief of Money Laundering Unit New York State Office of the Attorney General to explain what Part 504's personal liability means in the criminal context.	30
CUBA			
Critical Update on Cuba Sanctions: Relief, Risk Management and Real-World Considerations	2017	Compliance professionals may wonder what the future may bring for Cuba sanctions. How will the newfound warming of relations under Obama play out under the new Trump administration? OFAC continues to enforce the provisions of the CACR and the embargo still stands.	75